

# Standard Subspaces

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# Motivation and overview of minicourse

## standard subspaces:

- ▶ inspired by von Neumann algebras in standard form, but of independent interest.
- ▶ natural setting of Tomita-Takesaki modular theory.
- ▶ lots of applications: KMS states, quantum field theory, geometric modular action, split inclusions, relative entropies, ...
- ▶ single standard subspaces are quite simple, but inclusions/nets are very rich!

## contents (rough plan):

- 1) From  $^*$ -algebras to standard subspaces
- 2) Some standard subspace theory
- 3) Standard subspaces and von Neumann algebras
- 4) Nets of standard subspaces and quantum field theory (QFT)

# Standard Subspaces and Modular Theory

general theory and applications to QFT

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**Abstract:** This is a preview of an upcoming monograph on standard subspaces and modular theory. Currently it consists of the first chapter on fundamentals of standard subspaces, and an appendix on Hardy spaces.

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cations in quantum physics, which are collected in Section 1.8. These applications include the local standard subspaces of a free quantum Klein-Gordon field, and the standard subspace entropy operator  $\alpha$  and the cutting projection. We also mention the KMS states of a type I factor, which are related to the operator algebraic structure of Chapter 2.

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## 1) From $*$ -algebras to standard subspaces

## abstract $(C)^*$ -algebra $\mathcal{A}$

$*$ -operation  $\mathcal{A} \rightarrow \mathcal{A}, \quad A \mapsto A^*$

► well-defined by definition of  $*$ -algebra

► antilinear involution

► real fixed point space  $\mathcal{A}_{\text{sa}} = \{A = A^*\},$

$$\mathcal{A}_{\text{sa}} + i\mathcal{A}_{\text{sa}} = \mathcal{A}, \quad \mathcal{A}_{\text{sa}} \cap i\mathcal{A}_{\text{sa}} = \{0\}$$

► involution on  $\mathcal{A}_{\text{sa}} + i\mathcal{A}_{\text{sa}}:$

$$A + iB \xrightarrow{*} A - iB$$

## Representation on Hilbert space $\mathcal{H}$

Pick state  $\omega$  on  $\mathcal{A}$ , or vector  $\Omega \in \mathcal{H}$  (GNS)

$$\mathbf{s}_{\mathcal{A}, \Omega}^0 : \mathcal{A}\Omega \rightarrow \mathcal{A}\Omega, \quad A\Omega \mapsto A^*\Omega$$

► well-defined if  $\Omega$  separating ( $\omega$  faithful)

► antilinear involution

► real fixed point space  $H_0 := \mathcal{A}_{\text{sa}}\Omega,$

$$H_0 + iH_0 = \mathcal{A}\Omega, \quad H_0 \cap iH_0 = \{0\}$$

► involution on  $H_0 + iH_0:$

$$h_1 + ih_2 \mapsto h_1 - ih_2$$

## abstract $C^*$ -algebra $\mathcal{A}$

$*$ -operation  $\mathcal{A} \rightarrow \mathcal{A}, \quad A \mapsto A^*$

► isometric operation  $\|A^*\| = \|A\|$

*Does not need  $\mathcal{A}$  or  $\Omega$ ,  
just  $H$  !*

## Representation on Hilbert space $\mathcal{H}$

$S_{\mathcal{A},\Omega}^0 : \mathcal{A}\Omega \rightarrow \mathcal{A}\Omega, \quad A\Omega \mapsto A^*\Omega$

► isometric iff  $\omega$  tracial:

$$\|A\Omega\|^2 - \|A^*\Omega\|^2 = \omega(A^*A - AA^*)$$

► typically unbounded and not closed.

►  $S_{\mathcal{A},\Omega}^0$  closable  $\iff H := \overline{\mathcal{A}_{sa}\Omega}$  satisfies

$$H \cap iH = \{0\},$$

► this is true if  $\Omega$  separating for vNA  $\mathcal{A}''$

► Closure of  $S_{\mathcal{A},\Omega}^0$  is

$$S_{\mathcal{A},\Omega} : H + iH \rightarrow H + iH, \quad h_1 + ih_2 \mapsto h_1 - ih_2$$

# Definition and first examples

$\mathcal{H}$ : complex Hilbert space (always).

## Definition

A **standard subspace** is a real subspace  $H \subset \mathcal{H}$  such that

- ▶  $H$  is **closed**,
- ▶  $H$  is **separating**:  $H \cap iH = \{0\}$ ,
- ▶  $H$  is **cyclic**:  $H + iH \subset \mathcal{H}$  is dense.

## Some examples:

- (1) For a von Neumann algebra  $\mathcal{M} \subset B(\mathcal{H})$  and a cyclic+separating vector  $\Omega$ ,

$$H := \overline{\mathcal{M}_{\text{sa}} \Omega}$$

is standard. **Not** all standard subspaces are like that.

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(2)  $e^{i\alpha}\mathbb{R} \subset \mathbb{C}$  is standard ( $\alpha \in \mathbb{R}$ ), and any 1d standard subspace looks like that.



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(3) Let  $0 < \lambda \leq 1$ . Then

$$H_\lambda := \{(\lambda z, \bar{z}) : z \in \mathbb{C}\} \subset \mathbb{C}^2$$

is standard. Any 2d standard subspace is unitarily equivalent to one of those  
(Exercise).

# Definition and first examples

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- ▶  $H$  is **cyclic**:  $H + iH \subset \mathcal{H}$  is dense.

(4) Let  $I \subset \mathbb{R}$  be an interval and  $f \mapsto \tilde{f}$  Fourier transform. Then

$$H(I) := \left\{ \tilde{f}|_{\mathbb{R}_+} : f \in C_c^\infty(\mathbb{R} \rightarrow \mathbb{R}), \operatorname{supp}(f) \subset I \right\}^- \subset L^2(\mathbb{R}_+, p \, dp)$$

is standard (**Exercise**). These standard subspaces generate a QFT (later).

## 2) Some standard subspace theory

# Standard subspaces are perfect for modular theory.

- ▶  $\text{Inv}(\mathcal{H}) := \{\text{closed densely defined antilinear involutions } S : \text{dom}(S) \rightarrow \text{dom}(S) \text{ in } \mathcal{H}\}$
- ▶ Given  $H \subset \mathcal{H}$  standard, the **Tomita operator of  $H$**

$$S_H : H + iH \rightarrow H + iH, \quad h_1 + ih_2 \mapsto h_1 - ih_2,$$

lies in  $\text{Inv}(\mathcal{H})$ .

$$h = ik \quad \underline{S}h = h = ik = iSk$$

- ▶ The fixed point space of  $S \in \text{Inv}(\mathcal{H})$ , i.e.  $H := \text{Fix}(S) = \ker(S - 1)$ , is standard.

$$= \underline{-Sh}$$

This gives a bijection  $\text{Std}(\mathcal{H}) \longleftrightarrow \text{Inv}(\mathcal{H})$ .

## Properties of this bijection:

- 1) equivariant under  $\text{AU}(\mathcal{H})$  (unitary and antiunitary operators),  $S_{UH} = US_HU^*$ .
- 2)  $K \subset H \iff S_K \subset S_H$ .

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- 2)  $K \subset H \iff S_K \subset S_H$ . **Remark:**  $\|S_H\| < \infty \implies$  no non-trivial inclusions in  $H$ !

$\text{Inv}(\mathcal{H})$  has a natural symmetry:  $S \mapsto S^*$ . What does this look like on standard subspaces?

### Proposition

Let  $H \in \text{Std}(\mathcal{H})$ . Then  $S_H^* = S_{H'}$ , with the **symplectic complement**

$$H' = iH^{\perp \mathbb{R}} = \{\xi \in \mathcal{H} : \text{Im}\langle \xi, h \rangle = 0 \ \forall h \in H\}.$$

►  $H' \in \text{Std}(\mathcal{H})$ , and  $H'' = H$ .

- The symplectic complement behaves like the “commutant” of a standard subspace.
- ⚠ The symplectic complement is not a complement, and very different from the real orthogonal complement.
- May have  $H \cap H' \neq \{0\} \iff \overline{H + H'} \neq \mathcal{H}$ , or  $K \not\subseteq H$  with  $K' \cap H = \{0\}$ .
- Call  $Z(H) := H \cap H'$  the **center of  $H$** , call  $H$  **factorial** if  $H \cap H' = \{0\}$  and **maximal abelian** if  $H = H'$ .

Maximal abelian standard subspaces are very simple:  $H = \overline{\mathbb{R}\text{-span}\{e_n\}}$ , with  $(e_n)$  ONB of  $\mathcal{H}$ .

As any closed operator, Tomita operators have a polar decomposition,

$$\Delta_H := S_H^* S_H, \quad S_H = J_H \Delta_H^{1/2}.$$

Some consequences of  $S_H$  being an antilinear involution:

- ▶  $\ker \Delta_H = \{0\}$ ,  $\Delta_H \geq 0$ ,  $\Delta_H$  linear (modular operator).
- ▶  $J_H^* = J_H^{-1} = J_H$  antiunitary involution (modular conjugation)
- ▶ the modular relation

$$J_H \Delta_H^{1/2} = \Delta_H^{-1/2} J_H.$$

Proof:

$$\begin{aligned} \underbrace{J \Delta^{1/2}}_{\text{green}} &= S = S^{-1} = \Delta^{-1/2} J^{-1} \\ &= \underbrace{J^{-1}}_{\text{green}} \cdot \underbrace{J \Delta^{-1/2} J^{-1}}_{\text{red}} \end{aligned}$$

□

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Proof:

□

Further consequences:

- ▶ The unitary one parameter group  $\Delta_H^{it} = e^{it \log \Delta_H}$ ,  $t \in \mathbb{R}$ , exists (modular group)
- ▶ and commutes with the conjugation,  $J_H \Delta_H^{it} = \Delta_H^{it} J_H$  (attention with the sign).
- ▶ Modular data of symplectic complement:  $J_{H'} = J_H$ ,  $\Delta_{H'} = \Delta_H^{-1}$  because  $S_{H'} = (J_H \Delta_H^{1/2})^* = \Delta_H^{1/2} J_H = J_H \Delta_H^{-1/2}$ .



# Theorem (Tomita-Takesaki Theorem for standard subspaces)

Let  $H \in \text{Std}(\mathcal{H})$ . Then

$$\Delta_H^{it} H = H, \quad t \in \mathbb{R}, \quad J_H H = H'.$$

Proof:

$$\Delta_H^{it} S_H \Delta_H^{-it} = S_{H_1} \\ = S_{\Delta_H^{it} H}$$

$$\begin{aligned} \mathcal{H} \times \mathcal{H} &\longrightarrow \mathbb{R} \\ h_1, h_2 &\longmapsto \langle h_1, J_H h_2 \rangle \\ \langle h_1, J_H h \rangle &= \langle h_1, \Delta_H^{it} h \rangle \\ &\quad \uparrow J \Delta_H^{it} \quad \square \end{aligned}$$

Any standard subspace  $H$  has an intrinsic unitary one-parameter group of automorphisms, and a conjugation mapping it to its symplectic complement  $H'$ .

$$\mathbb{R} \ni \langle h_1, J_H h_2 \rangle \Rightarrow J_H H \subset H'$$

So far:  $H \rightarrow \Delta_H^{it}, J_H$ . Also the converse works:

### Lemma

Let  $U(t) = e^{itP}$  a strongly continuous unitary one-parameter group and  $J$  an antiunitary involution with  $JU(t) = U(t)J$ . Then

$$H := \ker(Je^{P/2} - 1)$$

is a standard subspace, with  $J_H = J$  and  $\Delta_H = e^P$ .

### Example:

- $\mathcal{H} = L^2(\mathbb{R}, d\theta)$ . Group  $(U(t)f)(\theta) = f(\theta - t)$ , conjugation  $(Jf)(\theta) = \overline{f(\theta)}$ .  
 $\leadsto H \in \text{Std}(\mathcal{H})$ .

**Exercise:** Write down  $H$  explicitly. **Hint:** Fourier transform.

How to **characterize** the modular group  $\Delta_H^{it}$  and the modular conjugation  $J_H$ ?

**Theorem (The KMS condition characterizes the modular group)**

Let  $H \in \text{Std}(\mathcal{H})$  and  $U(t)$  a one-par. group with  $U(t)H = H$ . Then  $U(t) = \Delta_H^{it}$  iff for all  $h_1, h_2 \in H$ ,

$$f(t) := \langle h_1, U(t)h_2 \rangle$$

has a continuous extension to  $\{t \in \mathbb{C} : -1 \leq \text{Im}(t) \leq 0\}$ , analytic in  $-1 < \text{Im}(t) < 0$ , and

$$f(t - i) = \overline{f(t)}, \quad t \in \mathbb{R}.$$

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$$f(t - i) = \overline{f(t)}, \quad t \in \mathbb{R}.$$

**Corollary**

Let  $K \subset H$  be standard subspaces such that  $\Delta_H^{it}K \subset K$ ,  $t \in \mathbb{R}$ . Then  $K = H$ .

**Proof:**  $\text{KMS} \Rightarrow \Delta_K = \Delta_H \Rightarrow \text{dom } S_K = \text{dom } S_H \xRightarrow{K \subseteq H} K = H. \quad \square$

How to characterize the modular conjugation?

**Proposition (Reflection Positivity characterizes the modular conjugation)**

*Let  $H \in \text{Std}(\mathcal{H})$  and  $J$  an anti-unitary involution. Then  $J = J_H$  iff  $JH \supset H'$  and*

$$\langle h, Jh \rangle \geq 0, \quad h \in H.$$

# Classification of standard subspaces

$$\text{Std}(\mathcal{H}) \longleftrightarrow \text{Inv}(\mathcal{H})$$

$$\longleftrightarrow (U(t), J : [U(t), J] = 0)$$

$$\xrightarrow{\sim} (\cancel{A} \not\equiv 0, A \cong -A)$$

$A = A^*$

Idea:  $A = \log \Delta_H = A^*$   
 $J_H A J_H = \log \Delta_H^{-1} = -A.$

# Classification of standard subspaces

$$\begin{aligned} \text{Std}(\mathcal{H}) &\longleftrightarrow \text{Inv}(\mathcal{H}) \\ &\longleftrightarrow (U(t), J : [U(t), J] = 0) \\ &\xrightarrow{\sim} (\cancel{A \rightarrow 0}, A \cong -A) \\ &\quad A = A^* \end{aligned}$$

## Proposition

$H, K \in \text{Std}(\mathcal{H})$  unitarily equivalent  $\iff \Delta_H = U\Delta_K U^{-1}$  for some  $U \in \mathcal{U}(\mathcal{H})$ .

## Theorem

Let  $V$  be a *real* Hilbert space, and  $U(t)$  a strongly continuous one-parameter group of orthogonal transformations. Then there exists uniquely (up to unitary equivalence) a complex Hilbert space  $\mathcal{H}$ , a standard subspace  $H \in \text{Std}(\mathcal{H})$ , and a real orthogonal map  $\Phi : V \rightarrow \mathcal{H}$  such that

$$\Phi(V) = H, \quad \Phi \circ U(t) \circ \Phi^{-1} = \Delta_H^{it}.$$